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PTO/SB/05 (11-00)

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. 98730-000030/US

First Inventor Che-Hung HU et al.

Title COLOR LEVEL ADJUSTING MODULE AND METHOD THEREOF

Express Mail Label No. N/A

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

## ADDRESS TO:

Commissioner for Patents  
Box Patent Application  
P.O. Box 1450  
Alexandria, VA 22313-1450

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 9]  
(preferred arrangement set forth below)  
- Descriptive title of the Invention  
- Cross References to Related Applications  
- Statement Regarding Fed sponsored R & D  
- Reference to sequence listing, a table,  
or a computer program listing appendix  
- Background of the Invention  
- Brief Summary of the Invention  
- Brief Description of the Drawings (if filed)  
- Detailed Description  
- Claim(s)  
- Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 4]
5. Oath or Declaration [Total Pages 3]  
a. ☒ Newly executed (original or copy)  
b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for a continuation/divisional with Box 18 completed)  
i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)  
a. ☐ Computer Readable Form (CRF)  
b. Specification Sequence Listing on:  
i. ☐ CD-ROM or CD-R (2 copies); or  
ii. ☐ paper  
c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATIONS PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of  
(when there is an assignee) Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure ☐ Copies of IDS  
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent.
17. ☐ Other: \_\_\_\_\_

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

Prior application information: Examiner \_\_\_\_\_

of prior application No: \_\_\_\_\_ / \_\_\_\_\_

Group / Art Unit: \_\_\_\_\_

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE ADDRESS

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or ☐ Correspondence address below

Name	Harness, Dickey & Pierce, P.L.C.				
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Name (Print/Type)	John A. Castellano	Registration No. (Attorney/Agent)	35,094
Signature	Date		September 24, 2003

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<b>FEE TRANSMITTAL for FY 2003</b>  <i>Patent fees are subject to annual revision.</i>		<b>Complete if Known</b>	
		Application Number	NEW
		Filing Date	September 24, 2003
		Inventor(s)	Che-Hung HU
		Examiner Name	Unknown
		Group / Art Unit	Unknown
<b>TOTAL AMOUNT OF PAYMENT</b>		( \$ )	433.00
		Attorney Docket No.	98730-000030/US

<b>METHOD OF PAYMENT (check one)</b> 1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:  Deposit Account Number: <span style="border: 1px solid black; padding: 2px;">08-0750</span>  Deposit Account Name: <span style="border: 1px solid black; padding: 2px;">Harness, Dickey &amp; Pierce, P.L.C.</span> <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27 2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other					<b>FEE CALCULATION (continued)</b>				
<b>3. ADDITIONAL FEES</b>									
Fee Code	Large Entity Fee (\$)	Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid				
105	130	205	65	Surcharge - late filing fee or oath					
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.					
139	130	139	130	Non-English specification					
147	2,520	147	2,520	For filing a request for reexamination					
112	920*	112	920*	Requesting publication of SIR prior to Examiner action					
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action					
115	110	215	55	Extension for reply within first month					
116	410	216	205	Extension for reply within second month					
117	930	217	465	Extension for reply within third month					
118	1,450	218	725	Extension for reply within fourth month					
128	1,970	228	985	Extension for reply within fifth month					
119	320	219	160	Notice of Appeal					
120	320	220	160	Filing a brief in support of an appeal					
121	280	221	140	Request for oral hearing					
138	1,510	138	1,510	Petition to institute a public use proceeding					
140	110	240	55	Petition to revive - unavoidable					
141	1,300	241	650	Petition to revive - unintentional					
142	1300	242	650	Utility issue fee (or reissue)					
143	470	243	235	Design issue fee					
144	630	244	315	Plant issue fee					
122	130	122	130	Petitions to the Commissioner					
123	50	123	50	Processing fee under 37 CFR 1.17 (q)					
126	180	126	180	Submission of Information Disclosure Stmt					
581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00				
146	750	246	375	Filing a submission after final rejection (37 CFR § 1.129(a))					
149	750	249	375	For each additional invention to be examined (37 CFR § 1.129(b))					
179	750	279	375	Request for Continued Examination (RCE)					
169	900	169	900	Request for expedited examination of a design application					
Other fee (specify) _____									
*Reduced by Basic Filing Fee Paid									
<b>SUBTOTAL (3)</b>					( \$ )	40.00			

<b>SUBMITTED BY</b>				<b>Complete (if applicable)</b>	
Name (Print/Type)	John A. Castellano	Registration No. Attorney/Agent	35,094	Telephone	703-668-8000
Signature				Date	September 24, 2003

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